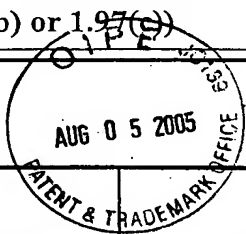


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
OKI.616

In Re Application Of: **Hiroyuki FUKUNAGA**



Serial No.  
**NEW**

Filing Date  
**JANUARY 22, 2004**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**TO BE ASSIGNED**

Title: **METHOD OF MANUFACTURING NONVOLATILE SEMICONDUCTOR STORAGE DEVICE**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

OKI.616

In Re Application: Hiroyuki FUKUNAGA

AUG 05 2005

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Group Art Unit

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METHOD OF MANUFACTURING NONVOLATILE SEMICONDUCTOR STORAGE DEVICE

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: JANUARY 22, 2004

ADAM C. VOLENTINE

REG. NO. 33289

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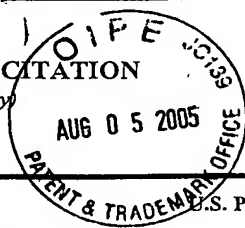
RESTON VA 20191

TEL. NO. (703) 715-0870

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

OKI.616

Application Number

NEW

Applicant(s)

Hiroyuki FUKUNAGA

Filing Date

JANUARY 22, 2004

Group Art Unit

TO BE ASSIGNED

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6,313,498	11/06/2001	CHEN			
	B	6,114,230	09/05/2000	CHANG et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	C	06-188431	07/08/1994	JAPAN			✓	
	D	05-175508	07/13/1993	JAPAN			✓	
	E	62-131582	06/13/1987	JAPAN				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER**

**ATTY DOCKET #:** OKI.616

**MAIL STOP PATENT APPLICATION**

**APPLICANT:** Hiroyuki FUKUNAGA

**DUE DATE:** October 30, 2004

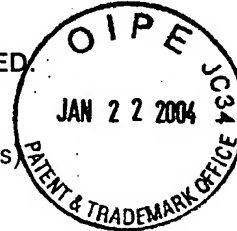
**SERIAL NO.:** (NEW)

**FILING DATE:** January 22, 2004

**TITLE:** METHOD OF MANUFACTURING NONVOLATILE SEMICONDUCTOR STORAGE

**RECEIPT OF THE FOLLOWING PAPERS IS ACKNOWLEDGED.**

- Utility Patent Application Transmittal
- Recordation Cover Sheet including Assignment (2 pages)
- Specification, Claims and Abstract (23 pages)
- Declaration and Power of Attorney (3 Pages)
- 5 Sheets of Drawings for Figures 1-5G
- Transmittal of Information Disclosure Statement, PTO-1449 Form and 4 references
- \$810 Filing Fee (\$770 filing fee, \$40 Assignment Fee)



**DATE:** January 22, 2004

**ATTY:** ACV

**[Check No. 11346 ]**